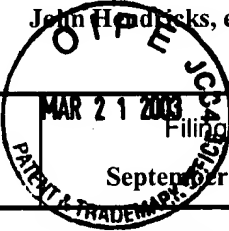


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
5515

In Re Application: John Hendricks, et al.

Serial No.  
09/158,549



Filing Date  
September 22, 1998

Examiner  
R. Brown

Group Art Unit  
2611

# W. Brown  
3/3/03

Advanced Set Top Terminal With Electronic Mail Box for Cable Delivery Systems

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Technology Center 2600

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Dated: March 20, 2003

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| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT<br>(Under 37 CFR 1.97(b) or 1.97(c))   |                                   |                      | Docket No.<br>5515      |  |
|--|-----------------------------------|----------------------|-------------------------|--|
| In Re Application Of: John Hennricks, et al.   |                                   |                      |                         |  |
| Serial No.<br>09/158,549   | Filing Date<br>September 22, 1998 | Examiner<br>R. Brown | Group Art Unit<br>2611  |  |
| Title: Advanced Set Top Terminal With Electronci Mail Box for Cable Delivery Systems   |                                   |                      |                         |  |
|  |                                   |                      | RECEIVED<br>MAR 28 2003 |  |
| Address to:<br>Assistant Commissioner for Patents<br>Washington, D.C. 20231  |                                   |                      | Technology Center 2600  |  |
| <b>37 CFR 1.97(b)</b>  |                                   |                      |                         |  |
| 1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. |                                   |                      |                         |  |
| <b>37 CFR 1.97(c)</b>  |                                   |                      |                         |  |
| 2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:   |                                   |                      |                         |  |
| <input type="checkbox"/> the statement specified in 37 CFR 1.97(e);  |                                   |                      |                         |  |
| <b>OR</b>  |                                   |                      |                         |  |
| <input checked="" type="checkbox"/> the fee set forth in 37 CFR 1.17(p).   |                                   |                      |                         |  |